

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) of 1.97(e))**

Docket No.

14243ZA

In Re Application Of: Tomohisa Sakurai, et al.



Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/774,914

February 9, 2004

William C. Jung

23389

3768

8864

Title: **ULTRASONIC OPERATION APPARATUS FOR PERFORMING FOLLOW-UP CONTROL OF
RESONANCE FREQUENCY DRIVE OF ULTRASONIC OSCILLATOR BY DIGITAL PLL SYSTEM USING
DDS (DIRECT DIGITAL SYNTHESIZER)**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

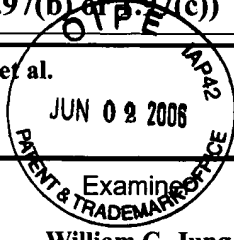
OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14243ZA

In Re Application of: Tomohisa Sakurai, et al.



Application No.	Filing Date	Examining Officer	Customer No.	Group Art Unit	Confirmation No.
10/774,914	February 9, 2004	William C. Jung	23389	3768	8864

Title: **ULTRASONIC OPERATION APPARATUS FOR PERFORMING FOLLOW-UP CONTROL OF RESONANCE FREQUENCY DRIVE OF ULTRASONIC OSCILLATOR BY DIGITAL PLL SYSTEM USING DDS (DIRECT DIGITAL SYNTHESIZER)**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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May 30, 2006
(Date)

Steven Fischman
Signature of Person Mailing Correspondence

Steven Fischman
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Steven Fischman
Signature

Dated: May 30, 2006

Steven Fischman
Registration No. 34,594

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CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tomohisa Sakurai, et al

Serial No: 10/774,914

Filed: February 9, 2004

For: ULTRASONIC OPERATION
APPARATUS FOR PERFORMING
FOLLOW-UP CONTROL OF
RESONANCE FREQUENCY DRIVE OF
ULTRASONIC OSCILLATOR BY
DIGITAL PLL SYSTEM USING DDS
(DIRECT DIGITAL SYNTHESIZER)



Examiner: William C. Jung

Art Unit: 3768

Docket: 14243ZA

Dated: May 30, 2006

Confirmation No: 8864

Mailstop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application Publication No. 2000-271140, dated October 3, 2000, together with an English language Abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 30, 2006.

Dated: May 30, 2006


Steven Fischman

2. Japanese Laid-Open Patent Application Publication No. 07-313937, dated December 5, 1995, together with an English language Abstract;
3. Japanese Laid-Open Patent Application Publication No. 04-231037, dated August 19, 1992, together with an English language Abstract.

The references were cited in an Official Action dated February 28, 2006 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with the Official Action.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

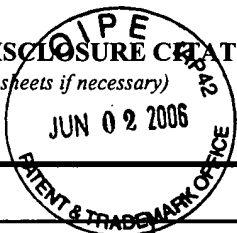


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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 14243ZA	Application Number 10/774,914
	Applicant(s) Tomohisa Sakurai, et al.	
	Filing Date February 9, 2004	Group Art Unit 3768



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2000-271140	10/03/2000	Japan				
		07-313937	12/05/1995	Japan				
		04-231037	08/19/1992	Japan				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.